

09/890990

Practitioner's Docket No. U 013588-9

Optional Customer No. Bar Code



00140

PATENT TRADEMARK OFFICE

CHAPTER II

TRANSMITTAL LETTER
TO THE UNITED STATES ELECTED OFFICE (EO/US)

(ENTRY INTO U.S. NATIONAL PHASE UNDER CHAPTER II)

PCT/GB00/00503	15 FEBRUARY 2000	16 FEBRUARY 1999
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
SUBSTITUTED STILBENE COMPOUNDS WITH VASCULAR DAMAGING ACTIVITY		
TITLE OF INVENTION		
PETER DAVID DAVIS		
APPLICANT(S)		

Box PCT
Assistant Commissioner for Patents
Washington D.C. 20231
ATTENTION: EO/US

NOTE: The completion of those filing requirements that can be made at a time later than 30 months from the priority date results from the Commissioner exercising his judgment under the authority granted under 35 USC 371(d). The filing

CERTIFICATION UNDER 37 C.F.R. 1.10*

(Express Mail label number is **mandatory**.)

(Express Mail certification is optional.)

I hereby certify that this correspondence and the documents referred to as attached therein are being deposited with the United States Postal Service on this date August 8, 2001, in an envelope as "Express Mail Post Office to Addressee," Mailing Label Number EL728214420US, addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

MARIA MELIAN
(type or print name of person mailing paper)

Maria Melian
Signature of person mailing paper

WARNING: Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

***WARNING:** Each paper or fee filed by "Express Mail" **must** have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. 1.10(b).
"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

09/890990

receipt will show the actual date of receipt of the last item completing the entry into the national phase. See 37 C.F.R. §1.491 which states: "An international application enters the national state when the applicant has filed the documents and fees required by 35 USC 371(c) within the periods set forth in § 1.494 and § 1.495."

WARNING: Where the items are those which can be submitted to complete the entry of the international application into the national phase are subsequent to 30 months from the priority date the application is still considered to be in the international state and if mailing procedures are utilized to obtain a date the express mail procedure of 37 C.F.R. §1.10 must be used (since international application papers are not covered by an ordinary certificate of mailing - See 37 C.F.R. §1.8.

NOTE: Documents and fees must be clearly identified as a submission to enter the national state under 35 USC 371 otherwise the submission will be considered as being made under 35 USC 111. 37 C.F.R. § 1.494(f).

1. Applicant herewith submits to the United States Elected Office (EO/US) the following items under 35 U.S.C. 371:
 - a. ☒ This express request to immediately begin national examination procedures (35 U.S.C. 371(f)).
 - b. ☒ The U.S. National Fee (35 U.S.C. 371(c)(1)) and other fees (37 C.F.R. § 1.492) as indicated below:

2. Fees

CLAIMS FEE	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
[]*	TOTAL CLAIMS	18- 20 =		x \$ 18.00 =	\$
	INDEPENDENT CLAIMS	6- 3 =	3	x \$ 80.00 =	\$240.00
	MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$270.00				
BASIC FEE**	<p>[] U.S. PTO WAS INTERNATIONAL PRELIMINARY EXAMINATION AUTHORITY</p> <p>Where an International preliminary examination fee as set forth in § 1.482 has been paid on the international application to the U.S. PTO:</p> <p>[] and the international preliminary examination report states that the criteria of novelty, inventive step (non-obviousness) and industrial activity, as defined in PCT Article 33(2) to (4) have been satisfied for all the claims presented in the application entering the national stage (37 CFR 1.492(a)(4)) \$100.00</p> <p>[] and the above requirements are not met (37 CFR 1.492(a)(1)) \$690.00</p> <p>[] U.S. PTO WAS NOT INTERNATIONAL PRELIMINARY EXAMINATION AUTHORITY</p> <p>Where no international preliminary examination fee as set forth in § 1.482 has been paid to the U.S. PTO, and payment of an international search fee as set forth in § 1.445(a)(2) to the U.S. PTO:</p> <p>[] has been paid (37 CFR 1.492(a)(2)) \$710.00</p> <p>[] has not been paid (37 CFR 1.492(a)(3)) \$1,000.00</p> <p>[X] where a search report on the international application has been prepared by the European Patent Office or the Japanese Patent Office (37 CFR 1.492(a)(5)) \$860.00</p>				
	Total of above Calculations				=1,100.00
SMALL ENTITY	Reduction by ½ for filing by small entity, if applicable. Statement may also be filed. (note 37 CFR 1.9, 1.27, 1.28)				-
	Subtotal				
	Total National Fee				\$1,100.00
	Fee for recording the enclosed assignment document \$40.00 (37 CFR 1.21(h)). (See Item 13 below). See attached "ASSIGNMENT COVER SHEET".				
TOTAL	Total Fees enclosed				\$1,100.00

*See attached Preliminary Amendment Reducing the Number of Claims.

JC03 Rec'd FCT/TL

08 AUG 2001

- i. ☒ A check in the amount of \$1,100.00 to cover the above fees is enclosed.
 ii. ☐ Please charge Account No. _____ in the amount of \$ _____.
 A duplicate copy of this sheet is enclosed.

****WARNING:** *"To avoid abandonment of the application the applicant shall furnish to the United States Patent and Trademark Office not later than the expiration of 30 months from the priority date: * * * (2) the basic national fee (see § 1.492(a)). The 30-month time limit may not be extended." 37 C.F.R. § 1.495(b).*

WARNING: *If the translation of the international application and/or the oath or declaration have not been submitted by the applicant within thirty (30) months from the priority date, such requirements may be met within a time period set by the Office. 37 C.F.R. § 1.495(b)(2). The payment of the surcharge set forth in § 1.492(e) is required as a condition for accepting the oath or declaration later than thirty (30) months after the priority date. The payment of the processing fee set forth in § 1.492(f) is required for acceptance of an English translation later than thirty (30) months after the priority date. Failure to comply with these requirements will result in abandonment of the application. The provisions of § 1.136 apply to the period which is set. Notice of Jan. 3, 1993, 1147 O.G. 29 to 40.*

3. [X] A copy of the International application as filed (35 U.S.C. 371(c)(2)):

NOTE: Section 1.495 (b) was amended to require that the basic national fee and a copy of the international application must be filed with the Office by 30 months from the priority date to avoid abandonment. "The International Bureau normally provides the copy of the international application to the Office in accordance with PCT Article 20. At the same time, the International Bureau notifies applicant of the communication to the Office. In accordance with PCT Rule 47.1, that notice shall be accepted by all designated offices as conclusive evidence that the communication has duly taken place. Thus, if an applicant desires to ensure that the communication to the Office has duly taken place, the applicant may wish to check to be sure the notice from the International Bureau has been received and then pay the basic national fee by 30 months from the priority date." Notice of Jan. 7, 1993, 1147 O.G. 29 to 40, at 35-36. See item 14c below.

- a. ☐ is transmitted herewith.
- b. ☐ is not required, as the application was filed with the United States Receiving Office.
- c. ☒ has been transmitted
- i. ☒ by the International Bureau.
- ii. ☐ by applicant on _____.
- Date of mailing of the application (from form PCT/IB/308): _____.
- Date

4. [X] A translation of the International application into the English language (35 U.S.C. 371(c)(2)):
- a. ☐ is transmitted herewith.
- b. [X] is not required as the application was filed in English.
- c. ☐ was previously transmitted by applicant on _____ Date
- d. ☐ will follow.

5. ☒ Amendments to the claims of the International application under PCT Article 19 (35 U.S.C. 371(c)(3)):

NOTE: The Notice of January 7, 1993 points out that 37 C.F.R. § 1.495(a) was amended to clarify the existing and continuing practice that PCT Article 19 amendments must be submitted by 30 months from the priority date and this deadline may not be extended. The Notice further advises that: "The failure to do so will not result in loss of the subject matter of the PCT Article 19 amendments. Applicant may submit that subject matter in a preliminary amendment filed under section 1.121. In many cases, filing an amendment under section 1.121 is preferable since grammatical or idiomatic errors may be corrected." 1147 O.G. 29-40, at 36.

- a. ☐ are transmitted herewith.
b. ☐ have been transmitted.
i. ☐ by the International Bureau.
Date of mailing of the amendment (from form PCT/IB/308): _____.
ii. ☐ by applicant on _____.
Date _____
c. ☒ have not been transmitted as
i. ☒ applicant chose not to make amendments under PCT Article 19.
Date of mailing of Search Report (from form PCT/ISA/210): MAY 25, 2000.
ii. ☐ the time limit for the submission of amendments has not yet expired.
The amendments or a statement that amendments have not been made will be transmitted before the expiration of the time limit under PCT Rule 46.1.
6. ☐ A translation of the amendments to the claims under PCT Article 19 (38 U.S.C. 371(c)(3)):
a. ☐ is transmitted herewith.
b. ☐ is not required as the amendments were made in the English language.
c. ☒ has not been transmitted for reasons indicated at point 5(c) above.
7. ☒ A copy of the international examination report (PCT/IPEA/409)
☒ is transmitted herewith.
☐ is not required as the application was filed with the United States Receiving Office.
8. ☒ Annex(es) to the international preliminary examination report
a. ☒ is/are transmitted herewith.
b. ☐ is/are not required as the application was filed with the United States Receiving Office.
9. ☒ A translation of the annexes to the international preliminary examination report
a. ☐ is transmitted herewith.
b. ☒ is not required as the annexes are in the English language.

10. ☒ An oath or declaration of the inventor (35 U.S.C. 371(c)(4)) complying with 35 U.S.C. 115
- a. ☐ was previously submitted by applicant on _____ Date
- b. ☐ is submitted herewith, and such oath or declaration
- i. ☐ is attached to the application.
- ii. ☐ identifies the application and any amendments under PCT Article 19 that were transmitted as stated in points 3(b) or 3(c) and 5(b); and states that they were reviewed by the inventor as required by 37 C.F.R. 1.70.
- c. ☒ will follow.

Other document(s) or information included:

11. ☒ An International Search Report (PCT/ISA/210) or Declaration under PCT Article 17(2)(a):
- a. ☒ is transmitted herewith.
- b. ☐ has been transmitted by the International Bureau.
Date of mailing (from form PCT/IB/308): _____
- c. ☐ is not required, as the application was searched by the United States International Searching Authority.
- d. ☐ will be transmitted promptly upon request.
- e. ☐ has been submitted by applicant on _____ Date
12. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98:
- a. ☐ is transmitted herewith.
Also transmitted herewith is/are:
☐ Form PTO-1449 (PTO/SB/08A and 08B).
☐ Copies of citations listed.
- b. ☒ will be transmitted within THREE MONTHS of the date of submission of requirements under 35 U.S.C. 371(c).
- c. ☐ was previously submitted by applicant on _____ Date
13. ☐ An assignment document is transmitted herewith for recording.

A separate ☐ "COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION" or ☐ FORM PTO 1595 is also attached.

14. [X] Additional documents:
- a. [] Copy of request (PCT/RO/101)
 - b. [X] International Publication No. WO 00/48590
 - i. [X] Specification, claims and drawing
 - ii. [] Front page only
 - c. [] Preliminary amendment (37 C.F.R. § 1.121)
 - d. [X] Other

FORM PCT/IPEA/408 (WRITTEN OPINION)COPY OF REPLY TO THE WRITTEN OPINION DATED 9TH NOVEMBER 2000

15. [X] The above checked items are being transmitted
- a. [X] before 30 months from any claimed priority date.
 - b. [] after 30 months.
16. [] Certain requirements under 35 U.S.C. 371 were previously submitted by the applicant on _____, namely:
- _____
- _____
- _____

AUTHORIZATION TO CHARGE ADDITIONAL FEES

WARNING: *Accurately count claims, especially multiple dependent claims, to avoid unexpected high charges if extra claims are authorized.*

NOTE: *"A written request may be submitted in an application that is an authorization to treat any concurrent or future reply, requiring a petition for an extension of time under this paragraph for its timely submission, as incorporating a petition for extension of time for the appropriate length of time. An authorization to charge all required fees, fees under § 1.17, or all required extension of time fees will be treated as a constructive petition for an extension of time in any concurrent or future reply requiring a petition for an extension of time under this paragraph for its timely submission. Submission of the fee set forth in § 1.17(a) will also be treated as a constructive petition for an extension of time in any concurrent reply requiring a petition for an extension of time under this paragraph for its timely submission." 37 C.F.R. § 1.136(a)(3).*

NOTE: *"Amounts of twenty-five dollars or less will not be returned unless specifically requested within a reasonable time, nor will the payer be notified of such amounts; amounts over twenty-five dollars may be returned by check or, if requested, by credit to a deposit account." 37 C.F.R. § 1.26(a).*

- [X] The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the entire pendency of this application to Account No. 12-0425.

[X] 37 C.F.R. 1.492(a)(1), (2), (3), and (4) (filing fees)

WARNING: *Because failure to pay the national fee within 30 months without extension (37 C.F.R. § 1.495(b)(2)) results in abandonment of the application, it would be best to always check the above box.*

[] 37 C.F.R. 1.492(b), (c) and (d) (presentation of extra claims)

NOTE: *Because additional fees for excess or multiple dependent claims not paid on filing or on later presentation must*

only be paid or these claims cancelled by amendment prior to the expiration of the time period set for response by the PTO in any notice of fee deficiency (37 C.F.R. § 1.492(d)), it might be best not to authorize the PTO to charge additional claim fees, except possible when dealing with amendments after final action.

- ☒ 37 C.F.R. 1.17 (application processing fees)
- ☒ 37 C.F.R. 1.17(a)(1)-(5)(extension fees pursuant to § 1.136(a).
- ☒ 37 C.F.R. 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 C.F.R. 1.311(b))

NOTE: Where an authorization to charge the issue fee to a deposit account has been filed before the mailing of a Notice of Allowance, the issue fee will be automatically charged to the deposit account at the time of mailing the notice of allowance. 37 C.F.R. § 1.311(b).

NOTE: 37 C.F.R. 1.28(b) requires "Notification of any change in loss of entitlement to small entity status must be filed in the application . . . prior to paying, or at the time of paying . . . issue fee." From the wording of 37 C.F.R. § 1.28(b): (a) notification of change of status must be made even if the fee is paid as "other than a small entity" and (b) no notification is required if the change is to another small entity.

- ☐ 37 C.F.R. § 1.492(e) and (f) (surcharge fees for filing the declaration and/or filing an English translation of an International Application later than 30 months after the priority date).


SIGNATURE OF PRACTITIONER

WILLIAM R. EVANS

(type or print name of practitioner)

Reg. No.: 25,858

Tel. No.: (212) 708-1930

Customer No.: 00140

P.O. Address

c/o Ladas & Parry
26 West 61st Street
New York, N.Y. 10023

Practitioner's Docket No. U 013588-9

PATENT

#5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

[x] In re application of: Peter David DAVIS

Application No.: -- 09/890,990

Group No.: --

Filed: August 8, 2001

Examiner: --

For: SUBSTITUTED STILBENE COMPOUNDS WITH VASCULAR DAMAGING ACTIVITY

[] *Patent No.:

Issue Date:

*NOTE: Insert name(s) of inventor(s) and title also for patent Where statement is with respect to a maintenance fee payment, also insert application number and filing date, and add Box M. Fee to address.

STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(c-f) and 1.27(b-d))

With respect to the invention described in

[] the specification filed herewith.

[x] application no. 09/890,990, filed August 8, 2001.

[] patent no. _____ issued _____.

I. IDENTIFICATION AND RIGHTS AS A SMALL ENTITY

I hereby state that I am

(complete either (a), (b), (c) or (d) below)

(a) Independent Inventor

[] a below named independent inventor, and that I qualify as an independent inventor, as defined in 37 CFR 1.9(c), for purposes of paying reduced fees under Sections 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office.

(b) Noninventor Supporting a Claim by Another

[] making this statement to support a claim by

for a small entity status for purposes of paying reduced fees under Sections 41(a) and (b) of Title 35, United States Code. I hereby state that I would qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Sections 41(a) and (b) of Title 35, United States Code, if I had made the above identified invention.

(c) Small Business Concern

[] the owner of the small business concern identified below:

check
one →

☒ an official of the small business concern empowered to act on behalf of the concern identified below:

Name of Concern ANGIOGENE PHARMACEUTICALS LTD.

Address of Concern 14 Plowden Park Aston Rowant, Watlington, Oxfordshire, OX9 5SW.

GREAT BRITAIN

_____ and
that the above identified small business concern qualifies as a small business concern, as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Sections 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

(d) Non-Profit Organization

☐ an official empowered to act on behalf of the nonprofit organization identified below:

Name of Organization _____

Address of Organization _____

TYPE OF ORGANIZATION

☐ University or Other Institution of Higher Education

☐ Tax Exempt Under Internal Revenue Service Code (26 USC 501(a) and 501(c) (3))

☐ Nonprofit Scientific or Educational Under Statute of State of the United States of America

(Name of State _____)

(Citation of Statute _____)

☐ Would Qualify as Tax Exempt Under Internal Revenue Service Code (26 USC 501(a) and 501(c) (3)), if Located in the United States of America

☐ Would Qualify as Nonprofit Scientific or Educational Under Statute of State of the United States of America, if Located in the United States of America

(Name of State _____)

(Citation of Statute _____)

and that the nonprofit organization identified above qualifies as a nonprofit organization, as defined in 37 CFR 1.9(e), for purposes of paying reduced fees under Sections 41(a) and (b) of Title 35, United States Code.

II. OWNERSHIP OF INVENTION BY DECLARANT

I hereby state that rights under contract or law remain with and/or have been conveyed to the above identified

☐ person
(item (a) or (b) above)

☒ concern
(item (c) above)

☐ organization
(item (d) above)

EXCEPT, that if the rights held are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held (1) by any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, (2) any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or (3) a nonprofit organization under 37 CFR 1.9(e).

- ☒ no such person, concern, or organization
☐ person, concerns or organizations listed below*

*NOTE: Separate statements are required from each named person, concern or organization having rights to the invention as to their status as small entities. (37 CFR 1.27)

Full Name _____
Address _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

Full Name _____
Address _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

III. ACKNOWLEDGEMENT OF DUTY TO NOTIFY PTO OF STATUS CHANGE

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

IV. DECLARATION

(check the following item, if desired)

NOTE: The following verification statement need not be made in accordance with the rules published on October 10, 1997, 62 Fed. Reg. 52131, effective December 1, 1997.

NOTE: "The presentation to the Office (whether by signing, filing, submitting, or later advocating) of any paper by a party, whether a practitioner or non-practitioner, constitutes a certification under § 10.18(b) of this chapter. Violations of § 10.18(b)(2) of this chapter by a party, whether a practitioner or non-practitioner, may result in the imposition of sanctions under § 10.18(c) of this chapter. Any practitioner violating § 10.18(b) may also be subject to disciplinary action. See §§ 10.18(d) and 10.23(c)(15)." 37 CFR 1.4(d)(2).

- ☐ I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

V. SIGNATURES

(complete only (e) or (f) below)

(e)

NOTE: All inventors must sign the statement.

Name of Inventor

Date:

Signature of Inventor

Name of Inventor

Date:

Signature of Inventor

Name of Inventor

Date:

Signature of Inventor

(add lines for any additional inventors who must sign)

or

(f)

NOTE: The title of the person signing on behalf of a concern or nonprofit organization should be specified.

Name of Person Signing (x) PETER DAVID DAVIS

Title of Person (x) CHIEF EXECUTIVE OFFICER
(if signing on behalf of a concern or non-profit organization)

Address of Person Signing ANGIOGENE PHARMACEUTICALS LTD, 14 PLOWDEN PARK ASTON
ROWANT, WATTLINGTON, OXFORDSHIRE, OX9 5SW, GREAT BRITAIN

SIGNATURE (x) 

DATE (x) 4th September 2001



#3/a

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: PETER DAVID **DAVIS**, et al.

Serial No.: 09/890,990

Group No.: --

Filed: AUGUST 8, 2001

Examiner.: --

For: Substituted Stilbene Compounds with Vascular Damaging Activity

Attorney Docket No.: U 013588-9

Assistant Commissioner for Patents
Washington, D.C. 20231

Sirs:

PRELIMINARY AMENDMENT

Please amend the above application as follows.

IN THE CLAIMS

4. (Amended) An agent according to claim 2 in which the linker group X is a bond.

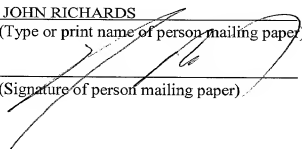
CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231

JOHN RICHARDS

(Type or print name of person mailing paper)

Date: August 29, 2001


(Signature of person mailing paper)

5. (Amended) An agent according to claim 2 in which the linker group is selected from an optionally substituted methylene chain, or $-(CH_2)_m-Y-(CH_2)_n-$ wherein Y is selected from -O-, -S-, -S(O)-, -SO₂-, -NH-, -Nalkyl-, -CO-, -OC(O)-, -NHC(O)-, -N(alkyl)C(O)-, -NHC(O)NH-, -NalkylC(O)NH-, NalkylC(O)Nalkyl-, -NHSO₂-, -NalkylSO₂-, -NHSO₂NH-, -NalkylSO₂NH-, -NalkylSO₂Nalkyl- and -OC(O)O-, m is 0-3 and n is 0-3.

6. (Amended) An agent according to claim 2 in which the nitric oxide synthase inhibitor moiety is selected from a group derived from an amino acid inhibitor of nitric oxide synthase a thiocitrulline derivative, an S-alkylisothiurea derivative or 2-aminopyridine derivative.

Please add the following new claims:

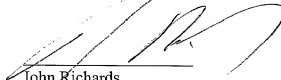
19. (New) An agent according to claim 3 in which the linker group X is a bond.

20. (New) An agent according to claim 3 in which the linker group is selected from an optionally substituted methylene chain, or $-(CH_2)_m-Y-(CH_2)_n-$ wherein Y is selected from -O-, -S-, -S(O)-, -SO₂-, -NH-, -Nalkyl-, -CO-, -OC(O)-, -NHC(O)-, -N(alkyl)C(O)-, -NHC(O)NH-, -NalkylC(O)NH-, NalkylC(O)Nalkyl-, -NHSO₂-, -NalkylSO₂-, -NHSO₂NH-, -NalkylSO₂NH-, -NalkylSO₂Nalkyl- and -OC(O)O-, m is 0-3 and n is 0-3.

REMARKS

The above amendatory action is taken solely for the purpose of avoiding claim fees that would otherwise accrue due to the presence of multiple dependent claims.

Respectfully submitted



John Richards
c/o Ladas & Parry
26 West 61st Street
New York, New York 10023
Reg. No.: 31053 (212) 708-1915

MARKED-UP COPY

4. (Amended) An agent according to [either of claims] claim 2 [and 3] in which the linker group X is a bond.

5. (Amended) An agent according to [either of claims] claim 2 [and 3] in which the linker group is selected from an optionally substituted methylene chain, or $-(CH_2)_m-Y-(CH_2)_n-$ wherein Y is selected from -O-, -S-, -S(O)-, -SO₂-, -NH-, -Nalkyl-, -CO-, -OC(O)-, -NHC(O)-, -N(alkyl)C(O)-, -NHC(O)NH-, -NalkylC(O)NH-, NalkylC(O)Nalkyl-, -NHSO₂-, -NalkylSO₂-, -NHSO₂NH-, -NalkylSO₂NH-, -NalkylSO₂Nalkyl- and -OC(O)O-, m is 0-3 and n is 0-3.

6. (Amended) An agent according to [any one of claims] claim 2 [to 5] in which the nitric oxide synthase inhibitor moiety is selected from a group derived from an amino acid inhibitor of nitric oxide synthase a thiocitrulline derivative, an S-alkylisothiourea derivative or 2-aminopyridine derivative.



JC05 Rec'd PCT/PTO 04 SEP 2001 ^{PCT}

Practitioner's Docket No. U 013588-9

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of PETER DAVID DAVIS

Serial No.: 09/890,990

Group No.:

Filed: August 8, 2001

Examiner:

For: Substituted Stilbene Compounds with Vascular Damaging Activity

Assistant commissioner for Patents
Washington, D.C. 20231

AMENDMENT TRANSMITTAL

1. Transmitted herewith is an amendment for this application.

STATUS

2. Applicant is

- ☐ a small entity. A statement:
☐ is attached.
☐ was already filed.
☒ other than a small entity.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

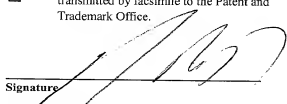
MAILING

FACSIMILE

- ☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

- ☐ transmitted by facsimile to the Patent and Trademark Office.

Date: August 29, 2001

Signature 
John Richards
(type or print name of person certifying)

EXTENSION OF TERM

NOTE: "Extension of Time in Patent Cases (Supplement Amendments) — If a timely and complete response has been filed after a Non-Final Office Action, an extension of time is not required to permit filing and/or entry of an additional amendment after expiration of the shortened statutory period.

If a timely response has been filed after a Final Office Action, an extension of time is required to permit filing and/or entry of a Notice of Appeal or filing and/or entry of an additional amendment after expiration of the shortened statutory period unless the timely-filed response placed the application in condition for allowance. Of course, if a Notice of Appeal has been filed within the shortened statutory period, the period has ceased to run." Notice of December 10, 1985 (1061 O.G. 34-35).

NOTE: See 37 C.F.R. 1.645 for extensions of time in interference proceedings, and 37 C.F.R. 1.550(c) for extensions of time in reexamination proceedings.

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply.

(complete (a) or (b), as applicable)

- (a) ☐ Applicant petitions for an extension of time under 37 C.F.R. 1.136 (fees: 37 C.F.R. 1.17(a)(1)-(4)) for the total number of months checked below:

	Extension (months)	Fee for other than <u>small entity</u>	Fee for <u>small entity</u>
<input type="checkbox"/>	one month	\$ 110.00	\$ 55.00
<input type="checkbox"/>	two months	\$ 390.00	\$ 195.00
<input type="checkbox"/>	three months	\$ 890.00	\$ 445.00
<input type="checkbox"/>	four months	\$ 1,390.00	\$ 695.00

Fee: \$ _____

If an additional extension of time is required, please consider this a petition therefor.

(check and complete the next item, if applicable)

- ☐ An extension for _____ months has already been secured. The fee paid therefor of \$ _____ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ _____

OR

- (b) ☒ Applicant believes that no extension of term is required. However, this is a conditional petition being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

(Col. 1)		(Col. 2)		(Col. 3)		SMALL ENTITY		OTHER THAN A SMALL ENTITY	
Claims		Remaining After Amendment		Highest No. Previously Paid For		Present Extra		Addit. Fee	
						Rate		OR	
						Rate			
Total	*	Minus	**	=		x \$ 9 =	\$	x \$18 =	\$
Indep.	*	Minus	***	=		x \$40 =	\$	x \$80 =	\$
[] First Presentation of Multiple Dependent Claim						+ \$135 =	\$	+ \$270 =	\$
						Total Addit. Fee	\$	OR Total Addit. Fee	\$

* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, enter "20".

*** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest No. Previously Paid For" (Total or Indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

WARNING: After final rejection or action (§ 1.113) amendments may be made canceling claims or complying with any requirement of form which has been made." 37 C.F.R. 1.116(a) (emphasis added).

(complete (c) or (d), as applicable)

- (c) ☒ No additional fee for claims is required.

OR

- (d) ☐ Total additional fee for claims required \$ _____.

FEE PAYMENT

5. ☐ Attached is a check in the sum of \$ _____.
- ☐ Charge Account No. _____ the sum of \$ _____.
- A duplicate of this transmittal is attached.

FEE DEFICIENCY

NOTE: If there is a fee deficiency and there is no authorization to charge an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum, six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1986, (1065 O.G. 31-33).

6. ☒ If any additional extension and/or fee is required, charge Account No. 12-0425.

AND/OR

- ☒ If any additional fee for claims is required, charge Account No. 12-0425

SIGNATURE OF PRACTITIONER

JOHN RICHARDS

(type or print name of practitioner)

P.O. Address

c/o Ladas & Parry
26 West 61 Street
New York, N.Y. 10023

Reg. No. 31053

Tel. No. (212) 708-1915

Customer No. 00140

SUBSTITUTED STILBENE COMPOUNDS WITH VASCULAR DAMAGING ACTIVITY

This invention relates to vascular damaging agents and particularly to a series of novel stilbene compounds.

Formation of new vasculature by angiogenesis is a key pathological feature of several diseases (J Folkman, New England Journal of Medicine 333, 1757-1763, 1995). For example, for a solid tumour to grow it must develop its own blood supply upon which it depends critically for the provision of oxygen and nutrients; if this blood supply is mechanically shut off the tumour undergoes necrotic death. Neovascularisation is also a clinical feature of skin lesions in psoriasis, of the invasive pannus in the joints of rheumatoid arthritis patients and of atherosclerotic plaques. Retinal neovascularisation is pathological in macular degeneration and in diabetic retinopathy. In all these diseases reversal of neovascularisation by damaging the newly-formed vascular endothelium is expected to have a beneficial therapeutic effect.

Combretastatin A4 phosphate is an agent known to have vascular damaging activity in animal models of solid tumours (Dark et al, Cancer Research 57, 1829-1834, 1997).

However some tumours are resistant to this agent and doses approaching the maximum tolerated dose are necessary to produce significant vascular damage in these tumours.

One characteristic of tumours resistant to combretastatin A4 phosphate is their ability to produce large amounts of nitric oxide. The role of nitric oxide in tumour growth is unclear and there have been reports of both tumour-stimulating and tumour-inhibiting effects (Chinje and Stratford, Essays Biochem. 32, 61-72, 1997).

The present invention concerns novel combretastatin derivatives, methods for their preparation, pharmaceutical compositions containing them and their use as vascular damaging agents for the treatment of diseases involving active angiogenesis. These derivatives are more active than combretastatin A4 phosphate, particularly on tumours

that are resistant to the known vascular damaging agents. In solid tumours vascular damaging agents exert their anti-tumour effect largely by inducing necrosis in the tumour, through starvation of the tumour's blood supply. Compounds of the invention show improved activity in the induction of necrosis in solid tumours. Though not limiting on the invention it is believed that the ability of compounds of the invention to reduce the production of nitric oxide during vascular damage by inhibition of one or more of the enzymes that produce nitric oxide (the nitric oxide synthases), is one way in which the compounds achieve increased activity.

- 10 Thus in one embodiment of the invention there is provided a compound of formula IA



IA

15

Wherein

A is a substituted *cis*-stilbene moiety

X is a linker bond, atom or group

- 20 B is a moiety derived from an inhibitor of the formation or action of nitric oxide in mammalian systems

and the hydrates, pharmaceutically acceptable salts and prodrugs thereof.

- 25 In a more specific embodiment of the invention there is provided a vascular damaging agent which is a compound of formula I



30

I

Wherein

A is a substituted *cis*-stilbene moiety

X is a linker bond, atom or group

B is a moiety derived from an inhibitor of nitric oxide synthase

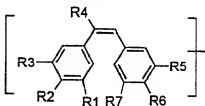
5

and the hydrates, pharmaceutically acceptable salts and prodrugs thereof.

The linker X can be attached to any available atom of the stilbene moiety A and to any available atom of nitric oxide synthase inhibitor B as appropriate.

10

The stilbene moiety A can be for example a group of formula II



II

Wherein

15

R1, R2 and R3 are each independently H, optionally substituted alkoxy, optionally substituted alkyl or halogen

R4 is hydrogen or cyano

20 R5, R6 and R7 are each independently H, hydroxy, optionally substituted alkoxy, optionally substituted alkyl, halogen, amino, alkylamino, dialkylamino, cyano, nitro, carboxyl, alkanoyl, alkoxycarbonyl, alkoxycarbonyloxy, alkoxycarbonylamino, aminocarbonylamino, alkylaminocarbonylamino, dialkylaminocarbonylamino, alkylcarbonylamino, alkylsulphonyl, aminosulphonyl, alkylaminosulphonyl, dialkylaminosulphonyl, alkylsulphonylamino, aminosulphonylamino,
25 alkylaminosulphonylamino, dialkylaminosulphonylamino, mercapto, alkylsulphonyl or alkylsulphiny]

with the proviso that at least two of R1, R2 and R3 must be optionally substituted alkoxy.

Stilbene moiety A can be attached to linker group X by any available valency.

5

Linker group X can be for example a bond, an optionally substituted methylene chain, or $-(CH_2)_m-Y-(CH_2)_n-$ wherein Y is selected from -O-, -S-, -S(O)-, -SO₂-, -NH-, -Nalkyl-, -CO-, -OC(O)-, -NHC(O)-, -N(alkyl)C(O)-, -NHC(O)NH-, -NalkylC(O)NH-, -NalkylC(O)Nalkyl-, -NHSO₂-, -NalkylSO₂-, -NHSO₂NH-, -NalkylSO₂NH-, -

10 NalkylSO₂Nalkyl- and -OC(O)O-, m is 0-3 and n is 0-3. Where the group Y is not symmetrical it can be oriented in either direction such that either end can be attached to the group A.

The nitric oxide synthase inhibitor moiety B can be a group derived from an inhibitor of nitric oxide synthase. Such inhibitors include, for example a group derived from an amino acid inhibitor of nitric oxide synthesis for example a group $-C(O)CH(NH_2)-(CH_2)_p-NHC(NH)Z$ wherein p is 1-5 and Z is alkyl, alkylamino, dialkylamino, nitroamino, hydrazino or alkylthio, or for example a group $-NHCH(CO_2R_{10})-(CH_2)_p-NHC(NH)Z$ where p and Z are as hereinbefore described and R₁₀ is hydrogen or alkyl.

20 A further example of a nitric oxide synthase inhibitor moiety B is a group derived from thiocitrulline for example a group $-C(O)CH(NH_2)-(CH_2)_p-NHC(S)NH_2$ or a group $-NHCH(CO_2R_{10})-(CH_2)_p-NHC(S)NH_2$. A further example of a nitric oxide synthase inhibitor moiety B is a group derived from an S-alkylisothiurea for example $-(CH_2)_p-SC(NH)NH_2$. A further example of a nitric oxide synthase inhibitor moiety B is a

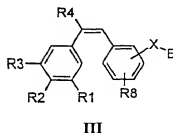
25 group derived from a 2-aminopyridine for example a 4-methyl-2-pyridinylamino group.

As used herein the term "alkyl", alone or in combinations, means a straight or branched-chain alkyl group containing from one to seven, preferably a maximum of four, carbon atoms such as methyl, ethyl, propyl, isopropyl, butyl, sec-butyl, t-butyl

30 and pentyl. Examples of alkoxy groups are methoxy, ethoxy, propoxy, isopropoxy, butoxy and t-butoxy. The term "halogen" means fluorine, chlorine, bromine or iodine.

- Optionally substituted alkoxy groups, optionally substituted alkyl groups and optionally substituted methylene chains may bear one or more substituents independently selected from halogen, hydroxy, amino, alkylamino, dialkylamino, carboxyl, mercapto, alkoxycarbonyl, aminocarbonyl, alkylaminocarbonyl, dialkylaminocarbonyl, alkylcarbonylamino, alkylcarbonyl(alkyl)amino, sulphate and phosphate.

One group of preferred compounds are those of formula III

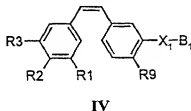


Wherein

- R1, R2, R3, R4, X and B are as hereinbefore described
- R8 is alkyl, amino, hydroxy, alkoxy or halogen

- A further preferred group of compounds are those of formula III wherein R1, R2, R3, R4, are as hereinbefore described, R8 is alkyl, amino, hydroxy, alkoxy or halogen, X is -O- or -NH- and B is a group $-C(O)CH(NH_2)-(CH_2)_p-NHC(NH)Z$ wherein p is 1-5 and Z is alkyl, alkylamino, dialkylamino, nitroamino, hydrazino or alkylthio or a group $-NHCH(CO_2R_{10})-(CH_2)_p-NHC(NH)Z$ where p, Z and R10 are as hereinbefore described.

A still further preferred subset includes compounds of formula IV



Wherein

R1, R2 and R3 are as hereinbefore described

R9 is alkyl, alkoxy or halogen

X₁ is O or NH

- 5 B₁ is a group -C(O)CH(NH₂)-(CH₂)_p-NHC(NH)Z wherein p is 1-5 and Z is alkyl, alkylamino, dialkylamino, nitroamino, hydrazino or alkylthio.

Particularly preferred compounds include:

- (Z)-1-(4-Methoxy-3-N^G-nitroarginyloxyphenyl)-2-(3,4,5-trimethoxyphenyl)ethene
- 10 (Z)-N-[2-methoxy-5-[2-(3,4,5-trimethoxyphenyl)ethenyl]phenoxy carbonyl]N^G-nitroarginine methyl ester
- (Z)-N-[2-methoxy-5-[2-(3,4,5-trimethoxyphenyl)ethenyl]phenoxy carbonyl]N^G-nitroarginine
- (Z)-N-[2-methyl-5-[2-(3,4,5-trimethoxyphenyl)ethenyl]phenoxy carbonyl]N^G-
- 15 nitroarginine methyl ester

- For the avoidance of doubt it is to be understood that where in this specification a group is qualified by "hereinbefore defined" or "defined hereinbefore", or "hereinafter defined" or "defined hereinafter", the said group encompasses the first occurring and
- 20 broadest definition as well as each and all of the preferred definitions for that group.

- Where one or more functional groups in compounds of formula I are sufficiently basic or acidic the formation of salts is possible. Suitable salts include pharmaceutically acceptable salts for example acid addition salts including hydrochlorides,
- 25 hydrobromides, phosphates, sulphates, hydrogen sulphates, alkylsulphonates, arylsulphonates, acetates, benzoates, citrates, maleates, fumarates, succinates, lactates and tartrates, salts derived from inorganic bases including alkali metal salts such as sodium or potassium salts, alkaline earth metal salts such as magnesium or calcium salts, and salts derived from organic amines such as morpholine, piperidine or
- 30 dimethylamine salts.

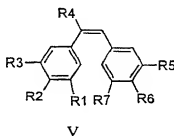
Compounds of formula I or IA or a salt thereof may exhibit tautomerism and the formulae drawings within this specification represent only one of the possible tautomeric forms. It is to be understood that the invention encompasses any tautomeric form that has vascular damaging activity and is not to be limited merely to any one tautomeric form utilised within the formulae drawings.

Those skilled in the art will recognise that compounds of formula I or IA may exist as stereoisomers and accordingly the present invention includes all such isomers and mixtures thereof which have vascular damaging activity. Where the group derived from a nitric oxide synthase inhibitor is derived from an amino acid inhibitor of nitric oxide synthase the L-configuration of the amino acid is preferred.

Compounds of the invention can be prepared by any process known to a person skilled in the art. Compounds of formulae IA, I, III and IV can be prepared by a number of processes as generally described hereinbelow and more specifically in the Examples hereinafter. In the general preparations described below it may be necessary to employ protecting groups which are then removed during the final stages of the synthesis. The appropriate use of such protecting groups and processes for their removal will be readily apparent to those skilled in the art. In the following process description, the symbols R1, R2, R3, R4, R5, R6, R7, X and B when used in the formulae depicted are to be understood to represent those groups described above in relation to formula I unless otherwise indicated

Thus according to a further aspect of the invention compounds of the invention may be prepared by attachment of a nitric oxide synthase inhibitor to a stilbene of formula V using alkylation, acylation, sulphonylation or coupling reactions. Alternatively stilbenes of formula V may be coupled to a difunctional compound (which provides the linker group -X-) and further coupled to the nitric oxide inhibitor via the remaining functionality on the linker group as appropriate. Stilbenes of formula V are either known or can be prepared using methods analogous to those used in the preparation of the known stilbenes which will be apparent to those skilled in the art.

In one general example compounds of formulae I can be prepared from a stilbene of formula V containing a free OH or NH by acylation with a nitric oxide synthase inhibitor containing a carboxylic acid for example using a coupling agent such as a carbodiimide, for example dicyclohexylcarbodiimide, or 1-(3-dimethylaminopropyl)-3-ethylcarbodiimide and, optionally, a base such as an organic base for example triethylamine and, optionally, a catalyst such as 4-dimethylaminopyridine in a solvent such as an aprotic solvent for example dimethylformamide or in a chlorinated solvent for example chloroform or dichloromethane at a temperature in the range from about -30°C to about 60°C, conveniently at or near room temperature.



In another general example a compound of formula V containing a free OH or NH group can be treated with 4-nitrophenylchloroformate in a solvent such as pyridine at a temperature of about -10°C to room temperature followed by treatment with a nitric oxide synthase inhibitor containing a free OH or NH group to give a compound of formula 1 containing a carbonate, carbamate or urea group.

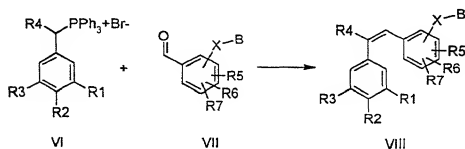
In another general example a compound of formula V containing a free NH group can be treated with a dicarboxylic acid monoester such as monomethyl succinate in the presence of a coupling agent such as a carbodiimide, for example dicyclohexylcarbodiimide, or 1-(3-dimethylaminopropyl)-3-ethylcarbodiimide and, optionally, a base such as an organic base for example triethylamine in a solvent such as an aprotic solvent for example dimethylformamide or in a chlorinated solvent for example chloroform or dichloromethane at a temperature in the range from about -30°C to about 60°C, conveniently at or near room temperature. The resulting ester can be hydrolysed by treatment with aqueous acid or aqueous base under standard

conditions and the carboxylic acid so obtained treated with a nitric oxide inhibitor containing a free OH or NH group, using a coupling agent as described hereinbefore, to give compounds of the invention.

- 5 In another general example a compound of formula V containing a carboxylic acid group can be converted into a compound of formula I containing an amide or ester by treatment with a nitric oxide synthase inhibitor, containing an amino group or a hydroxyl group respectively, using a coupling agent as described hereinbefore.
- 10 In another general example a compound of formula V containing a monohaloalkyl group can be reacted with a nitric oxide synthase inhibitor containing a free OH, NH, or SH group in the presence of a base such as sodium carbonate or a metal hydride such as sodium hydride in a solvent such as dimethylformamide at a temperature of about 0°C to a temperature of about 100°C to give compounds of the invention.
- 15 In another general example a compound of formula V containing a carboxylic acid group can be treated with a monoprotected diamino, dihydroxy or aminohydroxy compound such as a monoprotected diaminoalkane, a monoprotected dihydroxyalkane or mono-protected aminohydroxyalkane, using a coupling agent as described
- 20 hereinbefore and the resulting amide or ester deprotected and reacted with a nitric oxide synthase inhibitor containing a carboxylic acid using a coupling agent as described hereinbefore.

- In another general example a compound of formula V containing a free OH or NH
- 25 group can be sulphonylated with a protected amino sulphonylchloride such as a protected aminoalkylsulphonylchloride or a protected hydroxy sulphonyl chloride such as a protected hydroxyalkylsulphonyl chloride, in the presence of a base, for example a tertiary amine base such as triethylamine, in for example a solvent such as a hydrocarbon solvent e.g. dichloromethane at a temperature in the range for example -
- 30 30°C to 120°C, conveniently at or near ambient temperature and the resulting sulphonamide or sulphonate deprotected and reacted with a nitric oxide synthase inhibitor containing a carboxylic acid using a coupling agent as described hereinbefore.

- In another general example a compound of formula V containing a free OH, SH or NH group can be alkylated with a difunctional alkylating agent such as a dihaloalkane in the presence of a base such as sodium carbonate or a metal hydride such as sodium hydride in a solvent such as dimethylformamide at a temperature of about 0°C to a temperature of about 100°C, and the resulting haloalkane further reacted under similar conditions with a nitric oxide synthase inhibitor containing a free OH, SH or NH group.
- 10 Compounds of formula VII can also be prepared by Wittig olefin synthesis involving reaction of a phosphonium salt of formula VI with a strong base, for example an alkylolithium such as n-butyllithium or t-butyllithium or a metal hydride such as sodium hydride in a solvent such as an ether solvent for example diethyl ether or tetrahydrofuran or in a solvent such as a hydrocarbon solvent for example toluene at a
- 15 temperature of between about -100°C to about 30°C followed by treatment with an aldehyde of formula VII.



- 20 Compounds of formula I can also be prepared from other compounds of formula I by chemical modification. Examples of such chemical modifications that may be applied are standard alkylation, acylation, thioacylation, sulphonylation, aromatic halogenation and coupling reactions. These reactions may be used to add new substituents or to modify existing substituents. Alternatively, existing substituents in compounds of
- 25 formula I may be modified by, for example, oxidation, reduction, elimination, hydrolysis or other cleavage reaction to yield other compounds of formula I.

- Thus for example a compound of formula I containing an amino group may be acylated on the amino group by treatment with, for example, an acyl halide or anhydride in the presence of a base, for example a tertiary amine base such as triethylamine, in for example, a solvent such as a hydrocarbon solvent e.g. dichloromethane at a
- 5 temperature in the range for example -30°C to 120°C , conveniently at or near ambient temperature.

- In another general example of an interconversion process an amino group in a compound of formula I may be sulphonylated by treatment with, for example, an alkyl
- 10 or aryl sulphonyl chloride or an alkyl or aryl sulphonic anhydride in the presence of a base, for example a tertiary amine base such as triethylamine, in for example a solvent such as a hydrocarbon solvent e.g. dichloromethane at a temperature in the range for example -30°C to 120°C , conveniently at or near ambient temperature.

- 15 In a further general example a compound of formula I containing an ester can be hydrolysed by treatment with an acid, for example sulphuric acid, in a solvent such as tetrahydrofuran in the presence of water at a temperature of about room temperature to the reflux temperature of the solvent, preferably at or around 60°C .

- 20 In a further general example a compound of formula I containing an amide can be hydrolysed by treatment with for example an acid such as hydrochloric acid in a solvent such as an alcohol, for example methanol at an elevated temperature conveniently at the reflux temperature.

- 25 In another general example an O-alkyl group may be cleaved to the corresponding alcohol (OH) by reaction with boron tribromide in a solvent such as a chlorinated solvent e.g. dichloromethane at a low temperature e.g. around -78°C .

- In a further general example compounds of formula I may be alkylated by reaction with
- 30 a suitable alkylating agent such as an alkyl halide, an alkyl toluenesulphonate, an alkyl methanesulphonate or an alkyl triflate. The alkylation reaction can be carried out in

the presence of a base for example an inorganic base such as a carbonate e.g. caesium or potassium carbonate, a hydride such as sodium hydride or an alkoxide such as potassium t-butoxide in a suitable solvent such as an aprotic solvent e.g. dimethylformamide or an ether solvent such as tetrahydrofuran at a temperature of around -10 to 80°C.

Preparation of a compound of formula I as a single enantiomer or, where appropriate, diastereomer may be effected by synthesis from an enantiomerically pure starting material or intermediate or by resolution of the final product in a conventional manner.

Acid addition salts of the compounds of formula I are prepared in a conventional manner by treating a solution or suspension of the free base I with about one equivalent of a pharmaceutically acceptable acid. Salts of compounds of formula I derived from inorganic or organic bases are prepared in a conventional manner by treating a solution or suspension of the free acid I with about one equivalent of a pharmaceutically acceptable organic or inorganic base. Alternatively both acid addition salts and salts derived from bases may be prepared by treatment of the parent compound with the appropriate ion-exchange resin in a standard fashion. Conventional concentration and recrystallisation techniques are employed in isolating the salts.

Compounds according to the invention are able to destroy tumour vasculature and vasculature that has been newly formed while leaving unaffected normal, mature vasculature. The ability of the compounds to act in this way may be determined by the tests described in the Examples hereinafter.

The compounds according to the invention are thus of particular use in the prophylaxis and treatment of cancers involving solid tumours and in the prophylaxis and treatment of diseases where inappropriate angiogenesis occurs such as diabetic retinopathy, psoriasis, rheumatoid arthritis, atherosclerosis and macular degeneration.

The compounds of the invention may be administered as a sole therapy or in combination with other treatments. For the treatment of solid tumours compounds of

- the invention may be administered in combination with radiotherapy or in combination with other anti-tumour substances for example those selected from mitotic inhibitors, for example vinblastine, paclitaxel and docetaxel; alkylating agents, for example cisplatin, carboplatin and cyclophosphamide; antimetabolites, for example 5-fluorouracil, cytosine arabinoside and hydroxyurea; intercalating agents for example adriamycin and bleomycin; enzymes, for example asparaginase; topoisomerase inhibitors for example etoposide, topotecan and irinotecan; thymidylate synthase inhibitors for example raltitrexed; biological response modifiers for example interferon; antibodies for example edrecolomab; and anti-hormones for example tamoxifen. Such
- 10 combination treatment may involve simultaneous or sequential application of the individual components of the treatment.

- For the prophylaxis and treatment of disease the compounds according to the invention may be administered as pharmaceutical compositions selected with regard to the
- 15 intended route of administration and standard pharmaceutical practice. Such pharmaceutical compositions may take a form suitable for oral, buccal, nasal, topical, rectal or parenteral administration and may be prepared in a conventional manner using conventional excipients. For example for oral administration the pharmaceutical compositions may take the form of tablets or capsules. For nasal administration or
- 20 administration by inhalation the compounds may be conveniently delivered as a powder or in solution. Topical administration may be as an ointment or cream and rectal administration may be as a suppository. For parenteral injection (including intravenous, subcutaneous, intramuscular, intravascular or infusion) the composition may take the form of, for example, a sterile solution, suspension or emulsion.

- 25 The dose of a compound of the invention required for the prophylaxis or treatment of a particular condition will vary depending on the compound chosen, the route of administration, the form and severity of the condition and whether the compound is to be administered alone or in combination with another drug. Thus the precise dose will
- 30 be determined by the administering physician but in general daily dosages may be in the range 0.001 to 100mg/kg preferably 0.01 to 50mg/kg.

BIOLOGICAL ACTIVITY

The following test was used to demonstrate the activity of compounds according to the invention:

5

Activity against tumour vasculature measured by fluorescent dye.

The following experiment further demonstrates the ability of the compounds to damage tumour vasculature.

- 10 Tumour functional vascular volume in CaNT tumour-bearing mice was measured using the fluorescent dye Hoechst 33342 according to the method of Smith *et al* (Brit J Cancer 57, 247-253, 1988). The fluorescent dye was dissolved in saline at 6.25 mg/ml and injected intravenously at 10 mg/kg 24 hours after drug treatment. One minute later, animals were killed and tumours excised and frozen; 10 µm sections were cut at 3 different levels and observed under UV illumination using an Olympus microscope
- 15 equipped with epifluorescence. Blood vessels were identified by their fluorescent outlines and vascular volume was quantified using a point scoring system based on that described by Chalkley, (J Natl Cancer Inst, 4, 47-53, 1943). All estimates were based on counting a minimum of 100 fields from sections cut at the 3 different levels.

20 Induction of necrosis

- Mice bearing either CaNT or SaS tumours were treated with the test compound and tumours excised after 24h, fixed in formalin, embedded in paraffin, sectioned and stained with haematoxylin and eosin. Sections were scored based on area of necrosis
- 25 as follows:

% necrosis	score	% necrosis	score
0-10	1	51-60	6
11-20	2	61-70	7
21-30	3	71-80	8
31-40	4	81-90	9
41-50	5	91-100	10

Control tumours had mean scores of 2.0 (CaNT) and 1.0 (SaS). Mean values from at least three different tumours were obtained for each test compound.

Table: Reduction in Vascular Volume and Induction of Necrosis in the Carcinoma NT

- 5 Tumour 24h Post Dose: Comparison with Combretastatin A4 phosphate (CA4P).

Compound	Dose	Vascular volume % reduction	Necrosis score
CA4P	50mg/kg i.v.	88	5.7
CA4P	50mg/kg i.p.	91	6.0
Cmpd. of Example 1	50mg/kg i.v.	98	10.0
Cmpd. of Example 2	50mg/kg i.p.	95	8.0

- 10 The following non-limiting Examples illustrate the invention:

EXAMPLE 1

(Z)-1-(4-Methoxy-3-N^G-nitroarginyloxyphenyl)-2-(3,4,5-trimethoxyphenyl)ethene

- 15 Trifluoroacetic acid (0.2ml) was added to a solution of (Z)-1-(3-(N- α -t-butoxycarbonyl-N- ω -nitroarginyloxy)-4-methoxyphenyl)-2-(3,4,5-trimethoxyphenyl)ethene (82mg) in dichloromethane (3ml) at 0°C and the mixture allowed to come to room temperature and stir 16h. The mixture was concentrated under reduced pressure, ethanol (5ml) was added, the mixture was reconcentrated under reduced pressure and the procedure repeated three times. Trituration with
- 20 diethyl ether afforded the title compound (69mg) as an off-white powder m.p. 157-159°C.

The (Z)-1-(3-(N- α -t-butoxycarbonyl-N- ω -nitroarginyloxy)-4-methoxyphenyl)-2-(3,4,5-trimethoxyphenyl)ethene used in the above procedure was prepared as follows: A solution of (Z)-1-(3-hydroxy-4-methoxyphenyl)-2-(3,4,5-trimethoxyphenyl)ethene (65mg, 0.21mmol), N α -t-BOC- ω -nitro-L-arginine (134mg, 0.42mmol), 1-(3-dimethylaminopropyl)-3-ethylcarbodiimide hydrochloride (110mg, 0.54mmol) and 4-dimethylaminopyridine (5mg) in dichloromethane (2.1ml) was stirred at room temperature for 72h. The reaction mixture was partitioned between dichloromethane and water and the aqueous phase extracted with two portions of dichloromethane. The combined organic extracts were washed successively with two portions of water and one of brine, dried (MgSO₄) and concentrated under reduced pressure. The residue was chromatographed on silica gel eluting with 33% ethyl acetate/hexane followed by 100% ethyl acetate to give (Z)-1-(3-(N- α -t-butoxycarbonyl-N- ω -nitroarginyloxy)-4-methoxyphenyl)-2-(3,4,5-trimethoxyphenyl)ethene (82mg) as a white oil.

EXAMPLE 2

(Z)-N-[2-methoxy-5-[2-(3,4,5-trimethoxyphenyl)ethenyl]phenoxycarbonyl]N G -nitroarginine methyl ester

A solution of (Z)-1-(3-hydroxy-4-methoxyphenyl)-2-(3,4,5-trimethoxyphenyl)ethene (400mg, 1.27mmol) in dry pyridine (2ml) was added dropwise to a cooled (0°C) mixture of 4-nitrophenylchloroformate (282mg, 1.40mmol) and dry pyridine (1ml). After 20min the reaction mixture was warmed to room temperature and stirred for a further 6h. To this was added L-N G -nitroarginine methyl ester hydrochloride (343mg, 1.27mmol, azeotroped with toluene) and the mixture heated (70°C) for 72h. After cooling to room temperature, the reaction mixture was partitioned (ethyl acetate, water), the organic layer was washed (water x3), the aqueous layer was extracted (ethyl acetate x3), the combined organic fractions were further washed (water x2, saturated NaCl(aq) x1), dried (MgSO₄), and concentrated *in vacuo*. Flash silica chromatography, eluting with 50% ethyl acetate/hexane then 100% ethyl acetate,

afforded the title compound as a white foam (292mg). Elemental analysis: calculated C 54.26% H 5.78% N 12.17%, found C 53.97% H 6.07% N 11.55%.

EXAMPLE 3

5 (Z)-N-[2-methoxy-5-[2-(3,4,5-trimethoxyphenyl)ethenyl]phenoxy]carbonyl]N^G-nitroarginine

A mixture of (Z)-N-[2-methoxy-5-[2-(3,4,5-trimethoxyphenyl)ethenyl]phenoxy]carbonyl]N^G-nitroarginine methyl ester (95mg, 0.165mmol), tetrahydrofuran (10ml), water (10ml) and concentrated sulphuric acid (1ml) were heated at 60°C for 72h. After cooling to room temperature, the reaction mixture was partitioned (ethyl acetate, water), the aqueous layer was extracted (ethyl acetate x3), the combined organic fractions were further washed (water x2, saturated NaCl(aq) x1), dried (MgSO₄), and concentrated in vacuo. The title compound was
10 obtained as an opaque oil (90mg, 98%). LC-MS indicated purity >95%.

In a similar manner to Example 2 there was prepared:

EXAMPLE 4

20 (Z)-N-[2-methyl-5-[2-(3,4,5-trimethoxyphenyl)ethenyl]phenoxy]carbonyl]N^G-nitroarginine methyl ester

From (Z)-1-(3-hydroxy-4-methyl)-2-(3,4,5-trimethoxyphenyl)ethene (125mg, 0.42mmol), nitrophenylchloroformate (93mg, 0.46mmol) and L-N^G-nitroarginine methyl ester hydrochloride (113mg, 0.42mmol) there was obtained the title compound
25 (15mg) as a colourless oil. LC-MS indicated purity >95%. MS (*m/z*) 300 (M⁺), 285. The (Z)-1-(3-hydroxy-4-methyl)-2-(3,4,5-trimethoxyphenyl)ethene used as starting material was prepared as follows:

A suspension of 3,4,5-trimethoxybenzyltriphenylphosphonium bromide (8g, 15.3mmol)
30 in tetrahydrofuran (450ml) at -23°C was treated with n-butyllithium (10ml of a solution in hexanes, 15.3 mmol) dropwise and the mixture stirred for 1h. 4-methoxy-

3-*tert*-butyldimethylsilyloxybenzaldehyde (4.07g, 15.3mmol) was added and the mixture stirred a further 4h at -23°C before warming to room temperature and stirring a further 16h. The mixture was poured on to ice-water (150ml) and extracted with diethyl ether (three portions of 150ml). The combined extracts were washed with water (three portions of 150ml) and brine (150ml), dried (MgSO₄) and concentrated under reduced pressure. The residue was purified by chromatography on silica gel eluting with 5% ethyl acetate in hexane followed by 15% ethyl acetate in hexane to give a white solid (4.61g) consisting of (Z)-1-(4-methyl-3-*tert*-butyldimethylsilyloxyphenyl)-2-(3,4,5-trimethoxyphenyl)ethene. A portion of this material (3.46g, 8mmol) was dissolved in tetrahydrofuran (60ml) and treated with tetrabutylammonium fluoride (8.3 ml of a 1.0M solution in tetrahydrofuran, 8.3mmol) and stirred for 20min. Ice (20g) was added and the mixture extracted with diethyl ether (200ml). The extract was washed with water (three portions of 80ml), dried (MgSO₄) and concentrated under reduced pressure. The residue was purified by chromatography on silica gel eluting with 40% ethyl acetate in hexane. 1-(3-hydroxy-4-methyl)-2-(3,4,5-trimethoxyphenyl)ethene (2.01g) was obtained as a white solid.

CLAIMS:

1. A vascular damaging agent which is a compound of formula IA

5 A-X-B

IA

Wherein

10

A is a substituted *cis*-stilbene moiety

X is a linker bond, atom or group

B is a moiety derived from an inhibitor of the formation or action of nitric oxide in
mammalian systems said moiety having said inhibitor properties and attached to the
15 molecule by a valency bond

and the hydrates, pharmaceutically acceptable salts and prodrugs thereof.

- 20 2. A vascular damaging agent which is a compound of formula I

A-X-B

I

25

Wherein

A is a substituted *cis*-stilbene moiety

X is a linker bond, atom or group

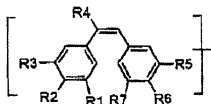
- 30 B is a moiety derived from an inhibitor of nitric oxide synthase said moiety having
inhibitor properties and attached to the molecule by a valency bond

AMENDED SHEET

and the hydrates, pharmaceutically acceptable salts and prodrugs thereof.

3. A vascular damaging agent according to claim 2 in which the *cis*-stilbene moiety is a group of formula II

5



II

Wherein

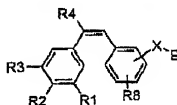
- 10 R1, R2 and R3 are each independently H, optionally substituted alkoxy, optionally substituted alkyl or halogen
 R4 is hydrogen or cyano
 R5, R6 and R7 are each independently H, hydroxy, optionally substituted alkoxy, optionally substituted alkyl, halogen, amino, alkylamino, dialkylamino, cyano, nitro,
 15 carboxyl, alkanoyl, alkoxy carbonyl, alkoxy carbonyloxy, alkoxy carbonylamino, aminocarbonylamino, alkylaminocarbonylamino, dialkylaminocarbonylamino, alkylcarbonylamino, alkylsulphonyl, aminosulphonyl, alkylaminosulphonyl, dialkylaminosulphonyl, alkylsulphonylamino, aminosulphonylamino, alkylaminosulphonylamino, dialkylaminosulphonylamino, mercapto, alkylsulphanyl or
 20 alkylsulphinyll,

with the proviso that at least two of R1, R2 and R3 must be optionally substituted alkoxy.

- 25 4. An agent according to either of claims 2 and 3 in which the linker group X is a bond.

5. An agent according to either of claims 2 and 3 in which the linker group is selected from an optionally substituted methylene chain, or $-(CH_2)_m-Y-(CH_2)_n-$ wherein Y is selected from $-O-$, $-S-$, $-S(O)-$, $-SO_2-$, $-NH-$, $-Nalkyl-$, $-CO-$, $-OC(O)-$, $-NHC(O)-$, $-N(alkyl)C(O)-$, $-NHC(O)NH-$, $-NalkylC(O)NH-$, $-NalkylC(O)Nalkyl-$, $-NHSO_2-$, $-NalkylSO_2-$, $-NHSO_2NH-$, $-NalkylSO_2NH-$, $-NalkylSO_2Nalkyl-$ and $-OC(O)O-$, m is 0-3 and n is 0-3.
6. An agent according to any one of claims 2 to 5 in which the nitric oxide synthase inhibitor moiety is selected from a group derived from an amino acid inhibitor of nitric oxide synthase, a thiocitrulline derivative, an S-alkylisothiurea derivative or 2-aminopyridine derivative.
7. An agent according to claim 6 in which the group derived from an amino acid inhibitor of nitric oxide synthase is a group $-C(O)CH(NH_2)-(CH_2)_p-NHC(NH)Z$ wherein p is 1-5 and Z is alkyl, alkylamino, dialkylamino, nitroamino, hydrazino or alkylthio, or a group $-NHCH(CO_2R10)-(CH_2)_p-NHC(NH)Z$ where p and Z are as hereinbefore described and R10 is hydrogen or alkyl.
8. An agent according to claim 6 in which the thiocitrulline group is $-C(O)CH(NH_2)-(CH_2)_p-NHC(S)NH_2$ or a group $-NHCH(CO_2R10)-(CH_2)_p-NHC(S)NH_2$.
9. An agent according to claim 6 in which the derivative of S-alkylisothiurea is $-(CH_2)_p-SC(NH)NH_2$.
10. An agent according to claim 6 in which the derivative of 2-aminopyridine is 4-methyl-2-pyridinylamino.
11. An agent according to claim 2 wherein the compound is

22



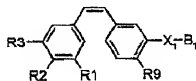
III

Wherein

- 5 R1, R2, R3, R4, X and B are as hereinbefore described
R8 is alkyl, amino, hydroxy, alkoxy or halogen

12. An agent according to claim 11 wherein the compounds are of formula III wherein R1, R2, R3, R4, are as hereinbefore described, R8 is alkyl, amino, hydroxy, alkoxy or halogen, X is -O- or -NH- and B is a group -C(O)CH(NH₂)-(CH₂)_p-NHC(NH)Z wherein p is 1-5 and Z is alkyl, alkylamino, dialkylamino, nitroamino, hydrazino or alkylthio or a group -NHCH(CO₂R10)-(CH₂)_p-NHC(NH)Z where p, Z and R10 are as hereinbefore described.

- 15 13. An agent according to claim 1 wherein the agent is of formula



IV

Wherein

- 20 R1, R2 and R3 are as hereinbefore described

R9 is alkyl, alkoxy or halogen

X₁ is O or NH

B₁ is a group -C(O)CH(NH₂)-(CH₂)_p-NHC(NH)Z wherein p is 1-5 and Z is alkyl, alkylamino, dialkylamino, nitroamino, hydrazino or alkylthio.

25

14. An agent according to claim 2 which is selected from

AMENDED SHEET

- (Z)-1-(4-Methoxy-3-N^G-nitroarginyloxyphenyl)-2-(3,4,5-trimethoxyphenyl)ethene
 (Z)-N-[2-methoxy-5-[2-(3,4,5-trimethoxyphenyl)ethenyl]phenoxy carbonyl]N^G-
 nitroarginine methyl ester
 (Z)-N-[2-methoxy-5-[2-(3,4,5-trimethoxyphenyl)ethenyl]phenoxy carbonyl]N^G-
 5 nitroarginine
 (Z)-N-[2-methyl-5-[2-(3,4,5-trimethoxyphenyl)ethenyl]phenoxy carbonyl]N^G-
 nitroarginine methyl ester
15. Use of a substituted stilbene compound in preparation of a medicament for the
 10 treatment of diseases involving neovascularisation characterised in that the stilbene
 compound is of formula IA

A-X-B

IA

15

Wherein

- A is a substituted *cis*-stilbene moiety
 20 X is a linker bond, atom or group
 B is a moiety derived from an inhibitor of the formation or action of nitric oxide in
 mammalian systems said moiety having inhibitor properties and attached to the
 molecule by a valency bond
- 25 and the hydrates, pharmaceutically acceptable salts and prodrugs thereof.

16. Use of a substituted stilbene compound in preparation of a medicament for the
 treatment of diseases involving neovascularisation characterised in that the stilbene
 compound is of formula I
- 30

A-X-B**I****5 Wherein**

A is a substituted *cis*-stilbene moiety

X is a linker bond, atom or group

10 B is a moiety derived from an inhibitor of nitric oxide synthase said moiety having inhibitor properties and attached to the molecule by a valency bond

and the hydrates, pharmaceutically acceptable salts and prodrugs thereof.

17. A method for the treatment of diseases involving neovascularisation
15 characterised by the administration of a stilbene derivative of formula I

A-X-B**IA**

20

Wherein

A is a substituted *cis*-stilbene moiety

X is a linker bond, atom or group

25 B is a moiety derived from an inhibitor of the formation or action of nitric oxide in mammalian systems said moiety having inhibitor properties and attached to the molecule by a valency bond

and the hydrates, pharmaceutically acceptable salts and prodrugs thereof.

30

18. A method for the treatment of diseases involving neovascularisation
characterised by the administration of a stilbene derivative of formula I

A-X-B**I**

5

Wherein**A is a substituted *cis*-stilbene moiety****X is a linker bond, atom or group**

- 10 **B is a moiety derived from an inhibitor of nitric oxide synthase said moiety having inhibitor properties and attached to the molecule by a valency bond**

and the hydrates, pharmaceutically acceptable salts and prodrugs thereof.

Optional Customer No. Bar Code



00140

PATENT TRADEMARK OFFICE

COMBINED DECLARATION AND POWER OF ATTORNEY

(ORIGINAL, DESIGN, NATIONAL STAGE OF PCT, SUPPLEMENTAL, DIVISIONAL,
CONTINUATION, OR C-I-P)

As a below named inventor, I hereby declare that:

TYPE OF DECLARATION

This declaration is of the following type:

(check one applicable item below)

- ☐ original.
☐ design.

NOTE: With the exception of a supplemental oath or declaration submitted in a reissue, a supplemental oath or declaration is not treated as an amendment under 37 CFR 1.312 (Amendments after allowance). M.P.E.P. Section 714.16, 7th Ed.

- ☐ supplemental.

NOTE: If the declaration is for an International Application being filed as a divisional, continuation or continuation-in-part application, do not check next item; check appropriate one of last three items.

- ☒ national stage of PCT.

NOTE: If one of the following 3 items apply, then complete and also attach **ADDED PAGES FOR DIVISIONAL, CONTINUATION OR C-I-P**.

NOTE: See 37 C.F.R. Section 1.63(d) (continued prosecution application) for use of a prior nonprovisional application declaration in the continuation or divisional application being filed on behalf of the same or fewer of the inventors named in the prior application.

- ☐ divisional.
☐ continuation.

NOTE: Where an application discloses and claims subject matter not disclosed in the prior application, or a continuation or divisional application names an inventor not named in the prior application, a continuation-in-part application must be filed under 37 C.F.R. Section 1.53(b) (application filing requirements-nonprovisional application).

- ☐ continuation-in-part (C-I-P).

INVENTORSHIP IDENTIFICATION

WARNING: *If the inventors are each not the inventors of all the claims, an explanation of the facts, including the ownership of all the claims at the time the last claimed invention was made, should be submitted.*

My residence, post office address and citizenship are as stated below, next to my name. I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter that is claimed, and for which a patent is sought on the invention entitled:

TITLE OF INVENTION

SUBSTITUTED STILBENE COMPOUNDS WITH VASCULAR DAMAGING ACTIVITY

SPECIFICATION IDENTIFICATION

The specification of which:

(complete (a), (b), or (c))

(a) ☐ is attached hereto.

NOTE: *"The following combinations of information supplied in an oath or declaration filed on the application filing date with a specification are acceptable as minimums for identifying a specification and compliance with any one of the items below will be accepted as complying with the identification requirement of 37 C.F.R. Section 1.63:*

"(1) name of inventor(s), and reference to an attached specification which is both attached to the oath or declaration at the time of execution and submitted with the oath or declaration on filing;

"(2) name of inventor(s), and attorney docket number which was on the specification as filed; or

"(3) name of inventor(s), and title which was on the specification as filed."

Notice of July 13, 1995 (1177 O.G. 60).

(b) ☐ was filed on _____, ☐ as Application No. _____
☐ and was amended on _____ (if applicable).

NOTE: *Amendments filed after the original papers are deposited with the PTO that contain new matter are not accorded a filing date by being referred to in the declaration. Accordingly, the amendments involved are those filed with the application papers or, in the case of a supplemental declaration, are those amendments claiming matter not encompassed in the original statement of invention or claims. See 37 C.F.R. Section 1.67.*

NOTE: *"The following combinations of information supplied in an oath or declaration filed after the filing date are acceptable as minimums for identifying a specification and compliance with any one of the items below will be accepted as complying with the identification requirement of 37 C.F.R. Section 1.63:*

(A) application number (consisting of the series code and the serial number, e.g., 08/123,456);

(B) serial number and filing date;

(C) attorney docket number which was on the specification as filed;

(D) title which was on the specification as filed and reference to an attached specification which is both attached to the oath or declaration at the time of execution and submitted with the oath or declaration; or

(E) title which was on the specification as filed and accompanied by a cover letter accurately identifying the application for which it was intended by either the application number (consisting of the series code and the serial number, e.g., 08/123,456), or serial number and filing date. Absent any statement(s) to the contrary, it will be presumed that the application filed in the PTO is the application which the inventor(s) executed by signing the oath or declaration.

M.P.E.P. Section 601.01(a), 7th ed.

- (c) ☒ was described and claimed in PCT International Application No. PCT/GB00/00503 filed on 15 February 2000 and as amended under PCT Article 19 on _____ (if any).

SUPPLEMENTAL DECLARATION (37 C.F.R. Section 1.67(b))

(complete the following where a supplemental declaration is being submitted)

☐ I hereby declare that the subject matter of the

☐ attached amendment

☐ amendment filed on _____.

was part of my/our invention and was invented before the filing date of the original application, above identified, for such invention.

ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information, which is material to patentability as defined in 37, Code of Federal Regulations, Section 1.56,

(also check the following items, if desired)

☐ and which is material to the examination of this application, namely, information where there is a substantial likelihood that a reasonable Examiner would consider it important in deciding whether to allow the application to issue as a patent, and

☐ in compliance with this duty, there is attached an information disclosure statement, in accordance with 37 C.F.R. Section 1.98.

PRIORITY CLAIM (35 U.S.C. Section 119(a)-(d))

NOTE: "The claim to priority need be in no special form and may be made by the attorney or agent if the foreign application is referred to in the oath or declaration as required by Section 1.63. The claim for priority and the certified copy of the foreign application specified in 35 U.S.C. Section 119(b) must be filed in the case of an interference (Section 1.630), when necessary to overcome the date of a reference relied upon by the examiner, when specifically required by the examiner, and in all other situations, before the patent is granted. If the claim for priority or the certified copy of the foreign application is filed after the date the issue fee is paid, it must be accompanied by a petition requesting entry and by the fee set forth in Section 1.17(f). If the certified copy is not in the English language, a translation need not be filed except in the case of interference; or when necessary to overcome the date of a reference relied upon by the examiner; or when specifically required by the examiner, in which event an English language translation must be filed together with a statement that the translation of the certified copy is accurate." 37 C.F.R. Section 1.55(a).

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

(complete (d) or (e))

- (d) ☐ no such applications have been filed.
(e) ☒ such applications have been filed as follows.

NOTE: Where item (c) is entered above and the International Application which designated the U.S. itself claimed priority check item (e), enter the details below and make the priority claim.

**PRIOR FOREIGN/PCT APPLICATION(S) FILED WITHIN 12 MONTHS
(6 MONTHS FOR DESIGN) PRIOR TO THIS APPLICATION
AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. SECTION 119(a)-(d)**

COUNTRY (OR INDICATE IF PCT)	APPLICATION NUMBER	DATE OF FILING DAY, MONTH, YEAR	PRIORITY CLAIMED UNDER 35 USC 119
GB	9903403.5	16 February 1999	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO

CLAIM FOR BENEFIT OF PRIOR U.S. PROVISIONAL APPLICATION(S)
(35 U.S.C. Section 119(e))

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below:

PROVISIONAL APPLICATION NUMBER

_____/_____
_____/_____
_____/_____

FILING DATE

**CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATION(S)
UNDER 35 U.S.C. SECTION 120**

- ☐ The claim for the benefit of any such applications are set forth in the attached
ADDED PAGES TO COMBINED DECLARATION AND POWER OF
ATTORNEY FOR DIVISIONAL, CONTINUATION OR CONTINUATION-IN-
PART (C-I-P) APPLICATION.

ALL FOREIGN APPLICATION(S), IF ANY, FILED MORE THAN 12 MONTHS
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION

NOTE: If the application filed more than 12 months from the filing date of this application is a PCT filing forming the basis for this application entering the United States as (1) the national stage, or (2) a continuation, divisional, or continuation-in-part, then also complete ADDED PAGES TO COMBINED DECLARATION AND POWER OF ATTORNEY FOR DIVISIONAL, CONTINUATION OR C-IP APPLICATION for benefit of the prior U.S. or PCT application(s) under 35 U.S.C. Section 120.

POWER OF ATTORNEY

I hereby appoint the following practitioner(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

(list name and registration number)

JOSEPH H. HANDELMAN, 26179

JULIAN H. COHEN, 20302

JOHN RICHARDS, 31053

WILLIAM R. EVANS, 25858

RICHARD J. STREIT, 25765

JANET I. CORD, 33778

PETER D. GALLOWAY, 27885

CLIFFORD J. MASS, 30086

IAIN C. BAILLIE, 24090

CYNTHIA R. MILLER, 34678

RICHARD P. BERG, 28145

(Check the following item, if applicable)

- ☐ I hereby appoint the practitioner(s) associated with the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.
- ☐ Attached, as part of this declaration and power of attorney, is the authorization of the above-named practitioner(s) to accept and follow instructions from my representative(s).

NOTE: "Special care should be taken in continuation or divisional applications to ensure that any change of correspondence address in a prior application is reflected in the continuation or divisional application. For example, where a copy of the oath or declaration from the prior application is submitted for a continuation or divisional application filed under 37 CFR 1.53(b) and the copy of the oath or declaration from the prior application designates an old correspondence address, the Office may not recognize, in the continuation or divisional application, the change of correspondence address made during the prosecution of the prior application. Applicant is required to identify the change of correspondence address in the continuation or divisional application to ensure that communications from the Office are mailed to the current correspondence address. 37 CFR 1.63(d)(4)." Section 601.03, M.P.E.P., 7th Ed

SEND CORRESPONDENCE TO

Ladas & Parry
26 West 61st Street
New York, N.Y. 10023

DIRECT TELEPHONE CALLS TO:
(Name and telephone number)

William R. Evans
(212) 708-1930

(complete the following if applicable)

Since this filing is a ☐ continuation ☐ divisional there is attached hereto a Change of Correspondence Address so that there will be no question as to where the PTO should direct all correspondence.

DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

SIGNATURE(S)

NOTE: Carefully indicate the family (or last) name, as it should appear on the filing receipt and all other document.

NOTE: Each inventor must be identified by full name, including the family name, and at least one given name without abbreviation together with any other given name or initial, and by his/her residence, post office address and country of citizenship. 37 C.F.R. Section 1.63(a)(3).

NOTE: Inventors may execute separate declarations/oaths provided each declaration/oath sets forth all the inventors. Section 1.63(a)(3) requires that a declaration/oath, inter alia, identify each inventor and prohibits the execution of separate declarations/oaths which each sets forth only the name of the executing inventor. 62 Fed. Reg. 53,131, 53,142, October 10, 1997,

Full name of sole or first inventor

1-00
Peter _____ David _____ DAVIS _____
(Given Name) (Middle Initial or Name) Family (Or Last Name)

Inventor's signature (x) _____

Date (x) 4th September 2001 _____ Country of Citizenship GREAT BRITAIN

Residence 10 ASTON PARK, ASTON ROWANT, WATLINGTON, OX9 5SW, GREAT BRITAIN GBN

Post Office Address SAME AS ABOVE

Full name of second joint inventor, if any

(Given Name) (Middle Initial or Name) Family (Or Last Name)

Inventor's signature _____

Date _____ Country of Citizenship _____

Residence _____

Post Office Address _____

Full name of third joint inventor, if any

(Given Name) (Middle Initial or Name) Family (Or Last Name)

Inventor's signature _____

Date _____ Country of Citizenship _____

Residence _____

Post Office Address _____

(check proper box(es) for any of the following added page(s)
that form a part of this declaration)

- ☐ **Signature** for fourth and subsequent joint inventors. *Number of pages added* _____

* * *

- ☐ **Signature** by administrator(trix), executor(trix) or legal representative for deceased or incapacitated inventor. *Number of pages added* _____

* * *

- ☐ **Signature** for inventor who refuses to sign or cannot be reached by person authorized under 37 C.F.R. Section 1.47. *Number of pages added* _____

* * *

- ☐ Added page for **signature** by one joint inventor on behalf of deceased inventor(s) where legal representative cannot be appointed in time. (37 C.F.R. Section 1.47)

* * *

- ☐ Added pages to combined declaration and power of attorney for divisional, continuation, or continuation-in-part (C-I-P) application.

☐ Number of pages added _____

* * *

- ☐ Authorization of practitioner(s) to accept and follow instructions from representative.

(If no further pages form a part of this Declaration,
then end this Declaration with this page and check the following item)

☒ This declaration ends with this page.